

**Request for Proposals (RfP)** 

Consultancy for the Implementation of the Methodologies for the Vulnerability Assessment and Prioritization of Wetlands of International Importance for the project Wise Use of Caribbean Wetlands for Climate Change Mitigation and Conservation of Their Ecosystem Services

International Union for Conservation of Nature and Natural Resources Regional Office for Mexico, Central America, and the Caribbean Country: Antigua and Barbuda, Belize, Cuba, Dominican Republic, Grenada, Jamaica, Saint Lucia and Suriname Name of the Project: P04892 - Wise Use of Caribbean Wetlands for Climate Change Mitigation and Conservation of their Ecosystem Services

Interested Proposers are hereby invited to submit a technical and financial proposal for the aforementioned Consultancy Service Please read the information and instructions carefully because non-compliance with the instructions may result in disqualification of your Proposal from this Procurement.

# 1. ABOUT IUCN

IUCN is a membership Union uniquely composed of both government and civil society organizations. It provides public, private, and non-governmental organizations with the knowledge and tools that enable human progress, economic development, and nature conservation to take place together.

Created in 1948, IUCN is now the world's largest and most diverse environmental network, harnessing the knowledge, resources, and reach of more than 1,400 Member organizations and some 18,000 experts. It is a leading provider of conservation data, assessments, and analysis. Its broad membership enables IUCN to fill the role of incubator and trusted repository of best practices, tools, and international standards.

IUCN provides a neutral space in which diverse stakeholders including governments, NGOs, scientists, businesses, local communities, indigenous people organizations, and others can work together to forge and implement solutions to environmental challenges and achieve sustainable development.

Working with many partners and supporters, IUCN implements a large and diverse portfolio of conservation projects worldwide. Combining the latest science with the traditional knowledge of local communities, these projects work to reverse habitat loss, restore ecosystems, and improve people's well-being.

www.iucn.org https://twitter.com/IUCN/

# 2. **REQUIREMENTS**

2.1. A detailed description of the services to be provided can be found in Annex 1 (Terms of Reference)

#### 3. CONTACT DETAILS

3.1. During the course of this RfP, from its publication to the award of a contract, you may not contact of discuss this procurement with any IUCN employee or representative other than the following contact. You must address all correspondence and questions to the contact, including your proposal.

Procurement Officer: Procurement.Ormacc@iucn.org

#### 4. **PROCUREMENT TIMETABLE**

4.1. This timetable is indicative and may be changed by IUCN at any time. If IUCN decides that changes to any of the deadlines are necessary, that will be informed.

DATE	ACTIVITY
18/12/24	Publication of the Request for Proposals
03/01/25	Deadline for submission of questions
10/01/25	Deadline for submission of proposals to IUCN ("Submission Deadline")
13/01/25	Clarification and evaluation of proposals
20/01/25	Planned date for contract award
30/01/25	Expected contract start date

- 4.2. Send an email to the IUCN contact to register an email address to receive notifications or clarifications of this bidding process, bidders in turn will be able to confirm if they intend to submit a Proposal before the deadline indicated above.
- 4.3. IUCN reserves the right to issue responses to any question to all Bidders, unless the Bidder making the inquiry expressly requests, at the time of inquiry, that it be kept confidential. If IUCN considers the content of the question and/or the answer to be non-confidential, it will inform the Bidder, who will have the opportunity to withdraw the question.
- 4.4. At any time before the deadline for submitting Proposals, IUCN may amend the RFP and will send all Bidders who have notified their interest or, failing that, registered an email to receive notifications, and it will be disclosed in the media used. For this end.
- 4.5. If the amendment is substantial, IUCN may extend the deadline for submission of Proposals to allow Bidders a reasonable time to take the amendment into consideration in their proposals.

#### 5. PRESENTATION OF THE PROPOSALS

5.1. The Bidder interested in submitting a Proposal must submit the following documents, in the order listed, placing:

#### i. ADMINISTRATIVE REQUIREMENTS

- a. Copy of identification document or passport (in case of being a foreigner).
- b. Copy of legal invoice for fees collection.

- c. Copy of resume.
- d. Letter of interest signed, indicating that the Consultant has read, understood and accepted the content of these Terms of Reference. (Annex 2).
- e. Signed Declaration of Undertaking (Annex 3a).
- f. Human Resources Questionnaire filled out and signed (Annex 4).

# FOR CONSULTANCY COMPANIES:

- a. Copy of Certification of Incorporation.
- b. Copy of identification document of the Legal Representative.
- c. Copy of legal invoice for fees collection.
- d. Copy of resumes of the Consulting Team.
- e. Letter of interest signed, indicating that the Consultant has read, understood and accepted the content of these Terms of Reference. (Annex 2).
- f. The signed Declaration of Undertaking was signed by the Representative of the Consulting Company or, in the case of a Consortium or group of consultants, signed by each member indicating that they have read and understood the content of the Declaration (Annex 3b).
- g. Human Resources Questionnaire filled out and signed (Annex 4).

#### ii. TECHNICAL PROPOSAL:

	Description	Information to be presented	Relative importance (%)
1	Methodology to be implemented	The proponent will describe the methodology to be implemented in the consultancy in a clear, consistent, and precise way, indicating the actors with whom it will coordinate and the procedures, instruments, and parameters to be used to carry out all the activities necessary to obtain the deliverables.	30%
2	Schedule of activities	The proponent must set out in the schedule of activities the logical dimension of time according to the scope of the required deliverables.	20%
3	Experience	Company Profile / CV / Responsible for the Consultancy according to item 6 of the ToR "Technical Profile".	20%
4	Conceptual Review of the ToR	The proposal matches the description of the ToR and shows a clear understanding of the expected products.	30%
		TOTAL	100%

i. The technical proposal must address each of the criteria listed below explicitly and separately, citing the reference number of the relevant criterion (Description column).

- i. IUCN will evaluate the technical proposals concerning each of the criteria indicated in point i) and their relative importance.
- ii. Proposals in any other format will significantly increase the evaluation time and therefore such proposals may be rejected at the discretion of IUCN.

- iii. When resumes (CVs) are requested, these should be from the people who will perform the specified job. Persons submitted as part of the Proposal may only be replaced with the approval of IUCN.
- iv. In the event that a company or group of consultants applies, in addition to the above information, the following must be specified:
  - a. Responsible for consulting,
  - b. Composition of the consulting team, specialty of each member,
- v. Role and responsibility in the activities/products of each member in accordance with the ToR.

#### iii. FINANCIAL PROPOSAL:

# <u>Signed</u> by the Proposer, indicating the value of professional services \$USD in numbers and letters.

- It will be considered that the prices presented include all the costs of fees, insurance, taxes, obligations and risks that must be considered for compliance with the Terms of Reference. IUCN will not accept charges beyond those clearly indicated in the Financial Proposal and that are eligible for the execution of the Contract.
- ii. The Bidder will have to assume the payments corresponding to taxes according to regulations in force in its country; You will have to have health and life insurance up to date; and will assume the bank charges by transfer.
- iii. If local or international trips must be made for the execution of this Contract, the costs will be paid by IUCN through reimbursement and will be governed by the IUCN per diem scale for food and lodging.
- iv. Travel expenses related to the execution of this Contract will not exceed the total amount of (AMOUNT) broken down as follows:

Expense type	Maximum amount
Meals	0
Surface Transportation	0
Accommodation	0
Other expenses (detailed)	0

- v. For reimbursement of travel expenses, the Proposer must submit a financial report with original invoices/receipts (e.g., transportation, lodging, food, and incidentals) to the IUCN Contact Person, in the currency of the Contract, so that the corresponding reimbursement can be processed.
- vi. Expenses related to vehicle maintenance, purchase of electronic equipment, cell phone expenses, consumption expenses, or purchase of alcoholic beverages will be considered ineligible expenses.
- vii. Breakdown of the Financial Proposal.

For information purposes, it is recommended that the details of the financial proposal be broken down as follows:

	Description	Quantity	Unit price*	Total price*
1				
2				
3				
*//	SD aurranav	•	•	

\*USD currency

5.2. Additional information not requested by IUCN should not be included in the proposal and will not be subject to evaluation.

#### 6. SENDING

6.1. The Proposal must be submitted by email to the IUCN Contact (see Section 2). The subject heading of the email shall be [RfP Reference – Proposer name]. The Proposer's name is the name of the company/organization on whose behalf the proposal is being submitted, or the surname of the Proposer in case is bidding as a self-employed consultant. The proposal must be submitted in PDF format. The Proposer may submit multiple emails suitably annotated, e.g., Email 1 of 3, if the attached files are too large to suit a single email transmission. You may not submit your Proposal by uploading it to a file-sharing tool (e.g. Dropbox, Google Drive, etc).

**IMPORTANT:** Submitted documents <u>must be password-protected</u> so that they cannot be opened and read before the submission deadline. Please use the same password for all submitted documents. <u>After</u> the deadline has passed and within 12 hours, please send the password to the IUCN Contact. This will ensure a secure bid submission and opening process. Please DO NOT email the password before the deadline for Proposal submission.

#### 7. ELIGIBILITY

7.1. [Donor-imposed eligibility criteria, e.g. the European Commission's Nationality Rule and Rule of Origin]

#### 8. VALIDITY

8.1. The proposal must remain valid and capable of acceptance by IUCN for a period of 60 calendar days following the submission deadline.

## 9. WITHDRAWALS AND CHANGES

9.1. Proposers may freely withdraw or change their proposal at any time prior to the submission deadline by written notice to the IUCN Contact. However, in order to reduce the risk of fraud, no changes or withdrawals will be accepted after the submission deadline.

#### 10. EVALUATION OF PROPOSALS

10.1. Completeness

IUCN will first check your proposal for completeness. Incomplete proposals will not be considered further.

10.2. Technical Evaluation

IUCN will evaluate technical proposals with regard to each of the following criteria and their relative importance:

10.2.1. Scoring Method

Proposals will be assigned a score from 0 to 10 for each of the technical evaluation criteria, such that '0' is low and '10' is high. Proposals that receive a score of '0' for any of the criteria will not be considered further.

10.2.2. Technical Score

The score for each technical evaluation criterion will be multiplied by the respective relative weight and these weighted scores added together to give the proposal's overall technical score.

10.3. Financial Evaluation and Financial Scores

The financial evaluation will be based upon the full total price submitted. Financial proposals will receive a score calculated by dividing the lowest financial proposal that has passed the minimum quality thresholds by the total price of your financial proposal.

# The proposal's total score will be calculated as the weighted sum of the technical score and financial score.

The relative weights will be:

Technical:	70%
Financial:	30%

Subject to the requirements in Sections 5 and 7, IUCN will award the contract to the Proposer whose proposal achieves the highest total score.

#### 11. EXPLANATION OF PROCUREMENT PROCEDURE

- 11.1. IUCN is using the Open Procedure for this procurement. This means that all interested parties can submit a proposal.
- 11.2. You are welcome to ask questions or seek clarification regarding this procurement. Please email the IUCN Contact (see Section 3), taking note of the deadline for submission of questions in Section 4.1. Late proposals will not be considered. All proposals received by the submission deadline will be evaluated by a team of three or more evaluators in accordance with the evaluation criteria stated in this RfP. No other criteria will be used to evaluate proposals. The contract will be awarded to the Proposer whose proposal received the highest Total Score. IUCN does, however, reserve the right to cancel the procurement and not award a contract at all.
- 11.3. IUCN will contact all Proposers who submitted their proposal to inform them of the outcome of the evaluation. The timeline in Section 4.1 gives an estimate of when the contract award is expected to be completed, however, this date may change depending on how long the evaluation of the proposals takes.

#### 12. CONDITIONS FOR PARTICIPATION IN THIS PROCUREMENT

- 12.1. To participate in this procurement, the Proposers are required to submit a proposal, which fully complies with the instructions in this RfP and the Attachments.
- 12.1.1. It is the responsibility of each Proposer to ensure the submission of a complete and fully compliant proposal.
- 12.1.2. Any incomplete or incorrectly completed proposal submission may be deemed noncompliant, and as a result will not be considered to proceed further in the procurement process.
- 12.1.3. IUCN will query any obvious clerical errors in a proposal and may, at IUCN's sole discretion, allow a Proposer to correct these, but only if doing so could not be perceived as giving an unfair advantage.

- 12.2. In order to participate in this procurement, the Proposer must meet the following conditions:
  - Free of conflicts of interest
  - Registered on the relevant professional or trade register of the country in which is established (or resident, if self-employed)
  - In full compliance with its obligations relating to payment of social security contributions and of all applicable taxes
  - Not been convicted of failing to comply with environmental regulatory requirements or other legal requirements relating to sustainability and environmental protection
  - Not bankrupt or being wound up
  - Never been guilty of an offence concerning professional conduct
  - Not involved in fraud, corruption, a criminal organization, money laundering, terrorism, or any other illegal activity.
- 12.3. Each Proposer shall submit only one proposal, either individually or as a partner in a joint venture. In case of joint venture, one company shall not be allowed to participate in two different joint ventures in the same procurement nor shall a company be allowed to submit a proposal both on its behalf and as part of a joint venture for the same procurement. A Proposer who submits or participates in more than one proposal (other than as a subcontractor or in cases of alternatives that have been permitted or requested) shall cause all the proposals with the Proposer's participation to be disqualified.
- 12.4. By taking part in this procurement, the Proposer accepts the conditions set out in this RfP, including the following:
  - It is unacceptable to give or offer any gift or consideration to an employee or other representative of IUCN as a reward or inducement in relation to the awarding of a contract. Such action will give IUCN the right to exclude you from this and any future procurements, and to terminate any contract that may have been signed with you.
  - Any attempt to obtain information from an employee or other representative of IUCN concerning another bidder will result in disqualification.
  - Any price fixing or collusion with other Proposers in relation to this procurement shall give IUCN the right to exclude you and any other involved bidder(s) from this and any future procurements and may constitute a criminal offense.

# 13. CONFIDENTIALITY AND DATA PROTECTION

- 13.1. IUCN follows the European Union's General Data Protection Regulation (GDPR). The information a Proposer submits to IUCN as part of this procurement will be treated as confidential and shared only as required to evaluate the proposal in line with the procedure explained in this RfP, and for the maintenance of a clear audit trail. For audit purposes, IUCN is required to retain the proposals in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.
- 13.2. In the Declaration of Undertaking (Attachment 3) the Proposer needs to give IUCN express permission to use the information submitted in this way, including personal data that forms part of the proposal. Where a Proposer includes employee's personal data (e.g. CVs) in the proposal, the Proposer needs to have written permission from those individuals to share this information with IUCN, and for IUCN to use this information as indicated in 8.1. Without these permissions, IUCN will not be able to consider the proposal.

#### 14. COMPLAINTS PROCEDURE

14.1. If a Proposer has a complaint or concern regarding the propriety of how a competitive process is or has been executed, then please contact <u>sofiamariela.madrigal@iucn.org</u>.

Such complaints or concerns will be treated as confidential and are not considered in breach of the above restrictions on communication (Section 3.1).

## 15. CONTRACT

15.1. The contract will be based on IUCN's template which terms of which are not negotiable.

#### 16. ANNEXES

- Annex 1 Specification of Requirements / Terms of Reference
- Annex 2 Letter of Interest
- **Annex 3** Declaration of Undertaking (select 2a for companies or 2b for self-employed)
- Annex 4 Human Ressources Questionnaire

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#### ATTACHMENT 1 TERMS OF REFERENCE

#### The Regional Office for Mexico, Central America and the Caribbean of the International Union for the Conservation of Nature

#### (IUCN-ORMACC)

#### REQUIRES

#### PROFESSIONAL CONSULTANCY SERVICES FOR

Consultancy for the implementation of the methodologies for the Vulnerability Assessments and Prioritization of Wetlands of International Importance for the project Wise Use of Caribbean Wetlands for Climate Change Mitigation and Conservation of Their Ecosystem Services.

Type of Contract:	Professional Consultancy Services
Period:	8 months
Availability:	Immediate
Person Responsible for Supervision:	Project Coordinator

#### 1. BACKGROUND AND JUSTIFICATION

Caribbean wetlands support important economic activities such as nature-based tourism and fisheries. However, the Caribbean is one of the most vulnerable regions in the world with respect to exposure to the effects of climate change and to natural hazards, and many wetland ecosystems are at risk. Furthermore, these wetlands are also under a number of direct pressures including overextraction, soil erosion, and water pollution.

The project "Wise Use of Caribbean Wetlands for Climate Change Mitigation and Conservation of their Ecosystem Services" is funded by the International Climate Initiative (IKI) of the Federal Republic of Germany and jointly implemented by IUCN and the Secretariat of the Convention on Wetlands.

The ultimate aim of the project is to reinforce legislation and policy that support management and wise use of Caribbean wetlands, thereby avoiding changes in their ecological character, increasing their resilience to climate change, and promoting conservation of biodiversity and ecosystem services in the long term. Specifically, the project will improve governance and management of Wetlands of International Importance through four outputs:

- I. Assessments on current status, ecosystem services and the vulnerability of 21 Caribbean Wetlands of International Importance to climate change;
- II. Identification of priority sites for climate change mitigation and adaptation as well as biodiversity conservation;
- III. Monitoring program in 8 Ramsar Sites in place and operation; and
- IV. Increased awareness of all major stakeholders on the values of coastal wetlands.

To ensure successful implementation of the project and facilitate the achievement of the required outputs, IUCN is hiring a Wetlands Vulnerability Assessment and Prioritization consultant.

The Vulnerability Assessment and Prioritization Consultant will support the Project Coordinator for the execution of the Vulnerability Assessment and Prioritization of Wetlands of International Importance in the 8 participating countries of the project. This consultant will work with the technical advice of the subcontractor Charles Sturt University and in coordination with the Project's National Liaison Officer in each country.

# 2. OBJECTIVES OF THE CONSULTANCY

**2.1. General:** Apply the methodologies for the vulnerability assessments and prioritization of Wetlands of International Importance in the 8 participating countries.

#### 2.2. Specific:

- 1. Apply the vulnerability assessment of the Wetlands of International Importance in the participating countries.
- 2. Apply the methodology prepared by subcontractor Charles Sturt University in all Wetlands of International Importance of the participating countries to identify priority sites for climate change mitigation and adaptation.

#### 3. EXPECTED DELIVERABLES

No.	Deliverable	Description		
1	Progress report including results of the vulnerability assessment methodology for the participant countries	First progress report with vulnerability assessments methodology completed by the participating countries Wetlands of International Importance		
2	Progress report	Second progress report with agreement from each participating country for implementation of responses to vulnerability assessments		
3	Final report	Final report with selected prioritized 8 Wetlands of International Importance (one in each participating country)		

#### 4. INTELECTUAL PROPERTY

All Intellectual Property rights conceived or made by the Consultant / Consulting Firm in the course of providing the Services will belong to IUCN.

#### 5. SCHEDULING AND TIMETABLE FOR DELIVERING PRODUCTS

#### 5.1. Activities and programming

Specific objectives	Activities				Mor	nths			
_		1	2	3	4	5	6	7	8
Apply the	• Coordinate with the Project								
vulnerability	Coordinator and the Project								
assessment of the	Technical Officer the								
Wetlands of	elaboration of a work plan								
International	together with the subcontractor								
Importance in the	Charles Sturt University for the implementation of the								

participating	methodology in the participar	nt
countries and get the	countries.	
commitment of the	<ul> <li>Analyze all the informatio</li> </ul>	
countries authorities	collected and reports produce	
to implement the	by the subcontractor Charle	
•	Sturt University and the project	ct
needed responses1	team, to identify next steps i	in
to climate change	the completion of th	ne l l l l l
identified.	vulnerability assessment i	in
	each 23 Wetlands of	of states and sta
	International Importance in th	าย
	participating countries	
	Compile the informatio	on la
	needed to complete th	
	· · · · · · · ·	in
	each of the 23 Wetlands	of
	International Importance wit	
	support from the Nationa	
	Liaison Officer.	
	Carry out together with th	
	Wetlands Focal Points and th	
		8
		in
	each of the participatin	
	countries) to complete th	-
	assessment of the status	
	ecosystem services, an	
	vulnerability of Caribbea	
	Wetlands of Internationa	
	Importance (23) includin	
	responses that will be needed.	•
	<ul> <li>Implement activities toward</li> </ul>	
	the eventual adoption of	
	commitment from eac	
	participating country for th implementation of response	
	that are needed in eac	
	Wetland of Internationa	
	Importance according to th	
	findings of the Vulnerabilit Assessments.	uy
Apply the		
Apply the	Coordinate with the Project     Taskaisal Officer in the	
methodology		
prepared by	elaboration of a work pla	
subcontractor	together with the subcontracto	
Charles Sturt	Charles Sturt University t	
University in all	implement the methodology for	or
Wetlands of	prioritization.	
	• Analyze all the informatio	
L	collected and reports produce	20       D

<sup>1</sup> Responses: refers to measures to be taken in order to manage the projected changes in ecological character management of the wetland as well as ways to undertake those measures (e.g. existing management plan and policies).

International Importance of the participating countries to identify priority sites for climate change mitigation and adaptation as well as biodiversity and prioritize one Wetland of International Importance in each country with the endorsement of the countries' authorities.	by the subcontractor Charles Sturt University to identify the next steps in the completion of the prioritization of 8 sites in the participating countries. • Compile the information needed to complete the prioritization of 8 Wetlands of International Importance (1 for each country)
	<ul> <li>Carry out together with Ramsar Convention of Wetlands Focal Points and the National Liaison Officers 8 national workshops (one in each of the participating countries) to endorse and apply the methodology prepared by Charles Sturt University for prioritized one Wetlands of International Importance per country. (same national workshops as in the above objective)</li> </ul>
	Based on the information and the agreements with the countries during the workshops, select prioritized Wetlands of International Importance with the endorsement of each country

# **5.2. Timetable for delivery of products:**

	Products	Expected date of delivery	Percentage of pay
i c	Progress report including the revision of information available for the completion of the methodologies.	Month 2	20%
i t a	Progress report including the results of the vulnerability assessment methodology for the participant countries.	Month 5	40%
t i r	Final report including the results of the implementation of the methodology for prioritization.	Month 8	40%

- All products will be paid once delivered to IUCN's complete satisfaction.

-Disbursements will depend on the availability of funds from the donor.

-The consultant/consulting firm must consider in the technical proposal the modification of some deliverables during the execution of the contract, if it is necessary, to adapt some results, without affecting the amount of the original contract.

-All expenses necessary for the implementation of this consultancy will be covered by the consultant/consulting firm.

-The financial proposal must reflect the breakdown of the cost per product and the total cost of the consultancy.

#### 6. TECHNICAL PROFILE

For the development of this consultancy, the following profile is required:

- Associate or bachelor's degree in environmental management, project management, or related field. Master's degree preferably.
- At least five years' experience working with regional or national efforts funded by international cooperation.
- Experience interacting with multidisciplinary and multicultural teams, government organizations, regional bodies, and multisectoral stakeholders.
- Excellent interpersonal skills and strong ability to work efficiently, and proactively, with respect for and sensitivity to multi-cultural and multi-stakeholder approaches.
- Excellent communication, negotiation, and networking skills.
- Problem solving, creativity, initiative, and innovation.
- Ability for interpersonal relationships and teamwork.
- Ability to work with people from different cultural and professional backgrounds.
- Willingness to travel at the national level and internationally, whenever required.
- Fluency in written and spoken English and Spanish.

#### 7. PERIOD AND COORDINATION

The period of the consultancy will be 8 months.

**Form of work (with Unit/Project)**: To achieve the products from this consultancy, the consultant is required to work closely with the Project Coordinator and the Technical Officer. All work completed must first be submitted for review and approval of the Project Coordinator and subsequently submitted to the IUCN ORMACC for review, approval, and payment.

#### ANNEX 2: LETTER OF INTEREST

Fill in the information in blue

[Place and date]

#### TO: [IUCN]

The undersigned, [name of the professional], after having examined the Terms of Reference for the Contracting of the Professional Consulting Services for (name of the consultancy) and offers to perform these services in accordance with the call for date

The attached Financial Proposal is for the total sum of [amount in letters and figures], which includes all taxes required by law.

The period of time in which the signatory of this document agrees to provide the services is from the date of signing the contract, until the date of termination thereof, without price variation, unless modifications are made resulting from contract negotiations.

The undersigned declares that all the information and statements made in the submitted proposals are true and that any misinterpretation contained in them may lead to disqualification. Cordially,

Signature\_

Full name of the proposer or legal representative \_\_\_\_\_

#### ATTACHMENT 3a – SELF-EMPLOYED PROPOSER

DECLARATION in relation to RfP <**Consultancy for the Implementation of the Methodologies for** the Vulnerability Assessments and Prioritization of Wetlands of International Importance for the project Wise Use of Caribbean Wetlands for Climate Change Mitigation and Conservation of Their Ecosystem Services.>

I, the undersigned, hereby confirm that I am self-employed and able to provide the service independent of any organization or other legal entity.

Full name (as in passport):

Home or Office (please delete as appropriate) Address (incl. country):

I hereby authorize IUCN to store and use the information included in the attached Proposal for the purpose of evaluating Proposals and selecting the Proposal IUCN deems the most favorable. I acknowledge that IUCN is required to retain my Proposal in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

I further confirm that the following statements are correct:

- 1. I am legally registered as self-employed in accordance with all applicable laws.
- 2. I am fully compliant with all my tax and social security obligations.
- 3. I am free of any real or perceived conflicts of interest with regard to IUCN and its Mission.
- I agree to declare to IUCN any real or perceived emerging conflicts of interest I may have concerning IUCN. I acknowledge that IUCN may terminate any contracts with me that would, in IUCN sole discretion, be negatively affected by such conflicts of interest.
- 5. I have never been convicted of grave professional misconduct or any other offense concerning my professional conduct.
- 6. I have never been convicted of fraud, corruption, money laundering, supporting terrorism, or involvement in a criminal organization.
- 7. I acknowledge that engagement in fraud, corruption, money laundering, supporting terrorism, or involvement in a criminal organization will entitle IUCN to terminate any and all contracts with me with immediate effect.
- 8. I am not included in the UN Security Council Sanctions List, EU Sanctions Map, US Office of Foreign Assets Control Sanctions List, or the World Bank listing of ineligible firms and individuals. I agree that I will not provide direct or indirect support to firms and individuals included in these lists.
- 9. I have not been, am not, and will not be involved or implicated in any violations of Indigenous Peoples' rights, or injustice or abuse of human rights related to other groups or individuals, including forced evictions, violation of fundamental rights of workers as defined by the International Labour Organization's (ILO) Declaration on the Fundamental Principles and Rights at Work, child labour, sexual exploitation, sexual abuse, or sexual harassment.

<Date and Signature>

## ATTACHMENT 3b - For Consulting Companies

DECLARATION in relation to RfP <**Consultancy for the Implementation of the Methodologies for** the Vulnerability Assessments and Prioritization of Wetlands of International Importance for the project Wise Use of Caribbean Wetlands for Climate Change Mitigation and Conservation of Their Ecosystem Services.>

I, the undersigned, hereby confirm that I am an authorized representative of the following organization:

Registered Name of Organization (the "Organization"):

Registered Address (incl. country): \_\_\_\_\_

Year of Registration:\_\_\_\_

I hereby authorize IUCN to store and use the information included in the attached Proposal for the purpose of evaluating Proposals and selecting the Proposal IUCN deems the most favourable. I acknowledge that IUCN is required to retain the Proposal in its entirety for 10 years after the end of the resulting contract and make this available to internal and external auditors and donors as and when requested.

Where the Proposal includes Personal Data as defined by the European Union's General Data Protection Regulation (GDPR), I confirm that the Organization has been authorized by each Data Subject to share this Data with IUCN for the purposes stated above.

I further confirm that the following statements are correct:

- 1. The Organization is duly registered in accordance with all applicable laws.
- 2. The Organization is fully compliant with all its tax and social security obligations.
- 3. The Organization and its staff and representatives are free of any real or perceived conflicts of interest with regard to IUCN and its Mission.
- 4. The Organization agrees to declare to IUCN any real or perceived emerging conflicts of interest it or any of its staff and representatives may have concerning IUCN. The Organization acknowledges that IUCN may terminate any contracts with the Organization that would, in IUCN sole discretion, be negatively affected by such conflicts of interest.
- 5. None of the Organization's staff has ever been convicted of grave professional misconduct or any other offense concerning their professional conduct.
- 6. Neither the Organization nor any of its staff and representatives have ever been convicted of fraud, corruption, money laundering, supporting terrorism, or involvement in a criminal organization.
- 7. The Organization acknowledges that engagement by itself or any of its staff in fraud, corruption, money laundering, supporting terrorism, or involvement in a criminal organization will entitle IUCN to terminate any and all contracts with the Organization with immediate effect.
- 8. The Organization is a going concern and is not bankrupt or being wound up, is not having its affairs administered by the courts, has not suspended business activities, is not the subject of proceedings concerning those matters, or in any analogous situation arising from a similar procedure provided for in national legislation or regulations.
- 9. The Organization complies with all applicable environmental regulatory requirements or other legal requirements relating to sustainability and environmental protection.
- 10. The Organization is not included in the UN Security Council Sanctions List, EU Sanctions Map, US Office of Foreign Assets Control Sanctions List, or the World Bank listing of ineligible firms and individuals. The Organization agrees that it will not provide direct or indirect support to firms and individuals included in these lists.
- 11. The Organization has not been, is not, and will not be involved or implicated in any violations of Indigenous Peoples' rights, or injustice or abuse of human rights related to other groups or individuals, including forced evictions, violation of fundamental rights of workers as defined by the International Labour Organization's (ILO) Declaration on the Fundamental Principles and Rights at Work, child labour, sexual exploitation, sexual abuse, or sexual harassment.

<sup>&</sup>lt;Date and Signature of authorized representative of the Proposer>

< Name and position of authorized representative of the Proposer >

#### ATTACHMENT 4: HUMAN RESOURCES QUESTIONNAIRE

# HR Questionnaire for Consultancy Contracts

Consultant / Company Name			-
Country of Residency			_
Required Checks			
	Yes	No	
Are payments linked to deliverables?			
Does the consultant have official invoices?			

#### **Required documents**

	Yes	No
Professional insurance/medical insurance policy statement, valid during the project's execution term		
Civil responsibility policy: should include at least coverage for physical injuries and/or death of third persons, and coverage for damages to others' property		

# The Consultant understands that, in case of answering NO to any of the previous questions, it is his/her responsibility to assume any legal implication or cost that is derived from it to the detriment of IUCN.

#### **Other Assignments**

Name other organizations for which the consultant has previously worked for:

Previous assignments with the IUCN (please indicate the last three):
1.

2	
2	•

3.

Are you currently working on a consulting with IUCN? If so, please specify the name of the consultancy, the person responsible for the consultancy and the end date.

For Self-Employed Consultants:

Is the Consultant part of one of IUCN Commissions?

Yes \_\_\_\_ No \_\_\_\_

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If so, please indicate which of the following Commissions:

- \_\_\_\_\_ World Commission on Protected Areas
- \_\_\_\_\_ International Law Commission
- \_\_\_\_\_ Species Survival Commission
- \_\_\_\_\_ Commission on Ecosystem Management
- Commission on Education and Communication
- Commission on Environmental, Economic and Social Policy

#### For Consultant Companies:

Is the Company/Organization an IUCN Member?

Yes \_\_\_\_\_ No\_\_\_\_\_

Consultant Signature: \_\_\_\_\_\_ Date: \_\_\_\_\_