



water for people  
UGANDA

**TERMS OF REFERENCE FOR MID-TERM PROJECT REVIEW OF THE  
SANITATION AND HYGIENE FUND PROJECT**

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## I. Background

The Sanitation and Hygiene Fund (SHF) Project in Uganda, titled "Accelerating Access to Improved Sanitation and Hygiene Services using Market-Based Approaches in Uganda," is a three-year initiative funded by the SHF and co-funded by the Government of Uganda. The project commenced in April 2023 and is set to conclude in December 2025. Its primary objective is to scale up sanitation and hygiene services in seven districts and four town councils/municipalities. The total project budget is US\$ 5 million from SHF.

In reference to the M&E Plan, a midterm evaluation will be conducted after 1.5 years of implementation. A consultant will be procured against TOR's developed according to UNOP's guidelines.

### Strategic Objectives

- **Strategic Objective 1:** Scaling up household sanitation and hygiene services.
- **Strategic Objective 3a:** Increasing sustainable water, sanitation, hygiene, and menstrual hygiene management (MHH) in schools.
- **Strategic Objective 3b:** Increasing sustainable water, sanitation, hygiene, and MHH in healthcare facilities.
- **Strategic Objective 4:** Supporting innovation towards safely managed sanitation, hygiene, and communities.

Project implementing partners include the Ministries of Health, Water and Environment, and Education, as well as development organizations such as Water for People, IRC WASH, Uganda Water and Sanitation NGO Network, and FINISH Mondial.

### Grant Intervention Areas.

Result area	Key interventions (summary of key activities)
Result area 1: Scale-up household sanitation and hygiene services in households	<ul style="list-style-type: none"><li>- Sanitation Demand Creation &amp; Marketing</li><li>- Sanitation Supply chain Strengthening</li><li>- Enabling the environment strengthening</li></ul>
Result Area 3a: Increase sustainable water, sanitation, hygiene and MHH in schools	<ul style="list-style-type: none"><li>- Increase access to emptyable sanitation and hygiene facilities which are inclusive (gender sensitive and cater for PWDs) in schools.</li><li>- Build capacity (planning, Implementation, monitoring of WASH) of staff, school managers, governors, and learners in schools plus other key stakeholders at different levels (national, district, subcounty, parish.</li><li>- Advocate for increased Sanitation and hygiene financing and prioritization at all levels for WASH in schools</li></ul>

Result Area 3b: Increase sustainable water, sanitation, hygiene and MHH in health care facilities.	<ul style="list-style-type: none"> <li>- Increase access to emptiable inclusive sanitation and hygiene facilities (gender sensitive and cater for PWDs) in Health Care Facilities.</li> <li>- Build capacity of key WASH in HCFs key stakeholders (National, District, subcounty, parish, HCF level) in (planning, Implementation, monitoring of WASH) of WASH in HCFs</li> <li>- Advocate for increased Sanitation and hygiene financing and prioritization at all levels for WASH in HCFs</li> </ul>
Result Area 4: Support innovation towards safely managed sanitation, hygiene and MHH (Summary of key activities)	<ul style="list-style-type: none"> <li>- Develop monitoring system.</li> <li>- Review Technology applicability across specified project areas for; Gulper, WASHaLOT, MoCH, Briquettes, Compost.</li> <li>- Modify and Pretest/Validate a standard curriculum-technical and business training) in 7 districts.</li> <li>- Conduct Rapid assessment of FSPT functionality and refinement / testing of the existing O&amp;M business.</li> <li>- Scale (Promote) proven innovative technology in selected target districts based on the TAF results. (briquette, biochar, double leach pits, fossa Alterna WASHaLOTs and MoCH)</li> </ul>

## II. Purpose and Scope of the Evaluation

The mid-term evaluation aims to assess the progress made against the project's strategic objectives and intermediate results. The evaluation will identify progress made, grant strengths, challenges, and areas for improvement/realignment to the new SHF results framework based on donor requirements, thereby informing the implementation strategy for the final year of the project.

## III. Mid-Term Evaluation Specific Objectives

1. **Assess Progress:** Evaluate progress towards each strategic objective and intermediate result, referencing the Results Framework and grant workplan.
2. **Strategic engagement and partnerships for market-based sanitation economies:** Examine government leadership in steering active engagement in policy dialogues and coordination to advance market-based approaches and efficacy of the SHF project implementation framework.
3. **Knowledge management and research:** Assess the knowledge innovation generated to address knowledge gaps and innovation needs for sanitation related challenges and opportunities

4. Assess the effectiveness relevance, efficiency and sustainability of the project's implementation models such as Market Based Sanitation, Results Based Financing etc.

**Promoting private sector development and access to finance for long-term market growth:** Assess collaboration and initiatives to drive market-based approaches in sanitation. In particular inclusive partnerships and initiatives that also aim to empower vulnerable and marginalized groups, including women, youth

#### **IV. Evaluation Questions**

##### **Evaluation objective 1: Evaluate progress towards each strategic objective and intermediate result, referencing the Results Framework and grant workplan**

- To what extent is the project on course to achieve Life of the project targets set out in the results framework?
- What factors enabled or hindered the attainment of the targets set at the beginning of the project.
- How did the project reprogramming process in the first year affect general implementation of the project?
- To what extent has the reduced project period affected attainment of set targets.
- To what extent has the project improved access to and utilization of sanitation and hygiene services?
- To what extent has the project enhanced WASH and MHH infrastructure in schools?
- To what extent has the project improved WASH infrastructure and service delivery in healthcare facilities?
- How did the SHF project contribute to the strengthening of sanitation and hygiene system at the national level?

##### **Evaluation objective 2: Examine government leadership in steering active engagement in policy dialogues and coordination to advance market-based approaches and efficacy of the SHF project implementation framework.**

- How effective were the Government's Ministries, Departments and Agencies (MDAs) in coordinating sanitation policy dialogues on sanitation?
- What are the strengths and weaknesses of the existing SHF implementation and partnership framework?
- What lessons can be drawn from the partnership between the Government MDAs and the Water for people led consortium?

**Evaluation objective 3: Knowledge management and research: Assess the knowledge innovation generated to address knowledge gaps and innovation needs for sanitation related challenges and opportunities**

- How did the SHF project contribute to addressing knowledge gaps in the sanitation sector?
- In what ways did the consortium adapt the project implementation approaches based on emerging lessons and evidence?
- What lessons can be drawn from the project's innovation-driven approaches like Results based financing for sanitation?

**Evaluation objective 4: Assess the relevance, efficiency and sustainability of the project's implementation models such as Market Based Sanitation, Results Based Financing etc**

- How effective are market-based sanitation strategies in achieving desired outcomes?
- What are the challenges and opportunities in reaching vulnerable populations, including women, people with disabilities, and the elderly?
- How effectively have school-based interventions increased awareness and behavior change among students and staff?
- What are the gaps in maintaining sustainable WASH services in educational institutions?
- How relevant were/are projects interventions in the Ugandan context?
- How effective are the innovative approaches and technologies introduced by the project?

**Evaluation objective 5: Promoting private sector development and access to finance for long-term market growth:** Assess collaboration and initiatives to drive market-based approaches in sanitation. In particular inclusive partnerships and initiatives that also aim to empower vulnerable and marginalized groups, including women, youth.

- To what extent has the project unlocked financing for sanitation and hygiene at local and national level?
- To what extent have private sector partnerships contributed to scaling up safely managed sanitation?  
How are the vulnerable and marginalized groups impacted by Market based sanitation models?

**Evaluation Use:**

The findings will:

- Assess alignment with strategic objectives.
- Evaluate why targets were or were not achieved.

- Provide lessons for future programming.
- Adapt strategies for improved outcomes in the remaining project duration.

## **V. Methodology**

The evaluation will adopt a mixed-methods approach, integrating qualitative and quantitative data collection techniques. The consulting firm will propose a detailed methodology, subject to validation before fieldwork.

### **Proposed Methods:**

1. **Document Review:** Analyze project reports, work plans, and relevant documentation.
2. **Focus Group Discussions:** Include community members, school representatives, and healthcare facility staff.
3. **Field Observations:** Assess on-the-ground implementation and infrastructure.
4. **Participatory engagement:** with government, Multisectoral coordinating mechanisms (MCM), Implementation Partners, project steering committee during data collection and analysis phases.

### **Support from Water For and other Project Implementing Partners in Uganda.**

- Provide access to key project documents.
- Facilitate introductions to stakeholders.
- Arrange logistics for field visits.

## **VI. Deliverables**

The consulting firm is expected to deliver the following outputs:

1. **Inception Report:** Detailed methodology, work plan, and evaluation tools.
2. **Draft Assessment Report:** Preliminary findings and recommendations.
3. **Final Report:** Comprehensive analysis upon feedback from stakeholders.
4. **PowerPoint Presentation:** Summary of findings and recommendations for dissemination.

## **VII. Evaluation Timeline**

The evaluation is expected to commence in February 2025 and conclude within 06 weeks of contract signing.

## **VIII. Qualifying Conditions for Consulting Firms**

Interested firms must demonstrate:

1. A team structure capable of delivering high-quality evaluations.

2. At least 10 years of experience in WASH projects.
3. Five years of experience evaluating large-scale WASH projects.
4. Familiarity with Uganda's WASH sector and similar contexts of at least 1M USD.
5. Proven experience in evaluating projects with multi-stakeholder partnerships.

#### **IX. Expression of interest submission**

Interested firms may submit a comprehensive proposal (financial and technical proposal) to [uganda@waterforpeople.org](mailto:uganda@waterforpeople.org) by Monday 27<sup>th</sup> January, 2025, by 1700hrs. Please note that any application received after this deadline will NOT be accepted.